

UNITED STORY DEPARTMENT OF COMMERCE Patent and Trademark Office

Address: COMMISSIONER OF PATENTS AND TRAOEMARKS Washington, D.C. 20231

776

APPLICATION N

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FILING DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NO.

EXAM	INER
	* :
ART UNIT	PAPER NUMBER
1806	15
DATE MAILED:	
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All participants (applicant, applicant's representative, PTO personnel):

(1) 205AN UNGATE (3) .
(2) Charles Berkman From Voice AAI
Date of Interview
Type: ☐ Telephonic · ☐ Personal (copy is given to ☐ applicant ☐ applicant's representative).
Exhibit shown or demonstration conducted: Yes No If yes, brief description:
Agreement was reached. was not reached.
Claim(s) discussed: ## Clarms 1-4 16 - 27
Claim(s) discussed: Test Clariff (
Identification of prior art discussed:
Description of the general nature of what was agreed to if an agreement was reached, or any other comments. Extra collection in
Gp. I Claims 1-4 + 16-26 Gp TL = Claim 27
(A fuller description, if necessary, and a copy of the amendments, if available, which the examiner agreed would render the claims allowable

INTERVIEW SUMMARY

(A fuller description, if necessary, and a copy of the amendments, if available, which the examiner agreed would render the claims allowable must be attached. Also, where no copy of the amendments which would render the claims allowable is available, a summary thereof must be attached.)

1. It is not necessary for applicant to provide a separate record of the substance of the interview.

Unless the paragraph above has been checked to indicate to the contrary. A FORMAL WRITTEN RESPONSE TO THE LAST OFFICE ACTION IS NOT WAVED AND MUST INCLUDE THE SUBSTANCE OF THE INTERVIEW. (See MPEP Section 713.04). If a respect to the last Office action has are ready been filed, APPLICANT IS GIVEN ONE MONTH FROM THIS INTERVIEW DATE TO FILE A STATEMENT OF THE SUBSTANCE OF THE INTERVIEW.

2. Since the Examiner's interview summary above (including any attachments) reflects a complete response to each of the objections, rejections and requirements that may be present in the last Office action, and since the claims are now allowable is completed form is considered to fulfill the response requirements of the last Office action. Applicant is not relieved from providing a separate record of the Interview unless box 1 above is also checked.

Examiner Note: You must sign this form unless it is an attachment to another form.

FORM PTOL-413 (REV.1-96)

* U.S. GPO: 1996-410-232/40051

A complete written statement as to the substance of any face-to-face or telephone interview with regard to an application must be made of record in the application, whether or not an egreement with the examiner was reached at the interview.

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- (b) In every instance where reconsideration is requested in view of an interview with an examiner, a complete written statement of the reasons presented at the interview as warranting (avorable action must be flied by the applicant. An interview does not remove the necessity for response to Office action es specified in §5 1.111.1.135, (35 U.S.C.132)
- 8.1.2. Business to be transacted in writing. All business with the Patent or Trademark Office should be transacted in writing. The personal attendance of epipicants or their attorneys or agent so at the Patent and Trademark Office is unnecessary: The-action of the Patent and Trademark Office which there is designeement or the written record in the Office. No ettention will be paid to any alleged oral promises, sipulation, or understanding in relation which there is designeement or writing the patent of the Office.

The ection of the Patent and Trademark Office cannot be based exclusively on the written record in the Office if that record is itself incomplete through the failure to record the substance of interviews.

It is the responsibility of the applicant or the attorney or agent to make the substance of an interview of record in the application file, unless the examiner indicates he or she will do so. It is the examiner's responsibility to see that such a record is made and to correct meterial inaccuracies which bear directly on the question of patentability.

Examiners must compilete a two-sheet cathon interiesf Interview Summay Form for each interview held effer January 1, 1978 where a matter of substance has been discussed during the interview by checking the appropriate boxes and filling in the blanks in meta handwritten from using a ball porn. Discussions regarding only procedural matters, directed solely to restriction requirements for which interview recordation is otherwise provided for in Section 812.0 to 1 the Manual of Patent between the procedural matters, directed solely to restriction requirements for which interview recordation is otherwise provided for in Section 812.0 to 1 the Manual of Patent between the procedural matters, directed solely to restrict one or unreadable superjuic for 100 feet colors on the like, are excluded from the interview recordation procedures between the procedural patents and the procedural patents of the superjuic patents

The Interview Summary Form shall be given an appropriate paper number, placed in the right hangl portion of the file, and tissed on the "Contents" ist on the file and reapper. The docket and serial register cards need not be upstated to reflect interviews. In personal interview, the duplicate copy of the Forms removed and given to the applicant (or attorney or agent) at the conclusion of the interview. In the case of a telephonic interview, the copy is mailed to the applicant's correspondence of the conclusion of the interview. The case of a telephonic interview, the copy is mailed to the applicant's correspondence of the conclusion of the interview. The case of the description of the conclusion of the conclusion of the conclusion of the conclusion of the case of the conclusion of the conclusi

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- Name of applicant
- -Name of examiner
- Date of interview
- -Type of interview (personal or telephonic)
 -Name of participant(s)) (applicant, attorney or agent, etc.)
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 An indication whether or not an exhibit was shown or a demonstration conducted
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 An identification of the claims discussed
- An identification of the specific prior art discussed
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- of emendments or claims agreed as being allowable). (Agreements as to allowability are tentative and do not restrict further action by the examiner to the contrary.)
- The signature of the examiner who conducted the interview
- -Names of other Patent and Trademark Office personnel present.

The Form also contains a statement reminding the epplicant of his responsibility to record the substance of the interview.

It is desireable that the examiner orally remind the applicant of his obligation to record the substance of the interview in each case unless both applicant and examiner agree that the examiner will record same. Where the examiner agrees to record the substance of the interview, or when it is adequately recorded for Form or in an attachment to the Form, the exeminer should check a box et the bottom of the Form informing the applicant that he need not supplement the Form by substitting a separate record of the substance of the interview.

It should be noted, however, that the Interview Summary Form will not normally be considered a complete and proper recordation of the interview unless it includes, or is supplemented by the applicant or the examiner to include, all of the applicable items required below concerning the substance of the interview:

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Examiner to Check for Accuracy

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FORM PTOL-413 (REV.1-98)

* U.S. GPO: 1996-410-232/40051

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ART UNIT

INTERVIEW SUMMARY	ℓ 80€ DATE MAILED:	15	
INTERVIEW SUMMARY			
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Date of Interview		1	
Type: Telephonic Personal (copy is given to applicant applicant's representative).		
Exhibit shown or demonstration conducted: Yes No If yes, brief description:	·	1(1)	
Agreement was reached. was not reached.	-		
Claim(s) discussed:			
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Description of the general nature of what was agreed to if an agreement was reached, or any other forms of the control of the general nature of what was agreed to if an agreement was reached, or any other forms of the general nature of what was agreed to if an agreement was reached, or any other forms of the general nature of what was agreed to if an agreement was reached, or any other forms of the general nature of what was agreed to if an agreement was reached, or any other forms of the general nature of what was agreed to if an agreement was reached, or any other forms of the general nature of what was agreed to if an agreement was reached, or any other forms of the general nature of what was agreed to if an agreement was reached, or any other forms of the general nature of what was agreed to if an agreement was reached, or any other forms of the general nature of what was agreed to if an agreement was reached, or any other forms of the general nature	r comments: Atto	clected Clasin	2
(A fuller description, if necessary, and a copy of the amendments, if available, which the examiner	agreed would render the	e claims allowable	
must be attached. Also, where no copy of the amendments which would render the claims allowat attached.)		ry thereof must be	
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FIRST NAMED APPLICANT

ATTORNEY DOCKET NO.

EXAMINER

	AHT UNII	PAPEH NUMBEH
	1806	15
	DATE MAILED:	
INTERVIEW SUMMARY		
All participants (applicant, applicant's representative, PTO personnel):		
11.		
(2) Charles Berkman (4)		
Date of Interview		
Type: ☐ Telephonic ☐ Personal (copy is given to ☐ applicant ☐ applicant's represen	stativa) ·	
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Exhibit shown or demonstration conducted: Yes No If yes, brief description:		
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Claim(s) discussed:		
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Description of the general nature of what was agreed to if an agreement was reached, or any	v other comments: A Ste	- discussion
to H () () A () A () A () A () A () A	dal 1	11-1
with Luyer + Cisa Arthur, AU1807, Ex		
Claim 19 is searchable with Seg II	D's Already	IN Application
	. /	, , ,
(A fuller description, if necessary, and a copy of the amendments, if available, which the examust be attached. Also, where no copy of the amendments which would render the claims a attached.)		
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SUBSTANCE OF THE INTERVIEW.

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Washington, D.C. 20231

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FIRST NAMEO APPLICANT

ATTORNEY DOCKET NO.

ART UNIT PAPER NU	MEER
1866 15	-
DATE MAILED:	
INTERVIEW SUMMARY	
All participants (applicant, applicant's representative, PTO personnel):	
(1) SUSAN UNGAR (3)	
12) Voice Hail of Charles Bergman 6/9-552-8400 Ext 5	32
Date of Interview	
Type: Telephonic Personal (copy is given to applicant applicant's representative).	
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Description of the general nature of what was agreed to if an agreement was reached, or any other comments: Exc /e5	t massa
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	125 2982
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It is the responsibility of the applicant or the ettorney or agent to make the substance of an interview of record in the application file, unless the examiner indicates he or she will do so. It is the examiner's responsibility to see that such a record is made and to correct material inaccuracies which bear directly on the question of patentiability.

Examiners must complete a two-sheet cathon interiest Interview Summary Form for each interview held after January 1, 1978 where a matter of substance has been discussed during the interview by checking the appropriate boxes and filling in the blanks in neat handwritten from using a bull pero. Discussions regarding only procedural matters, directed solely to estifiction requirements for which interview recordation is otherwise provided for in Section 81.2.0 of the Manual of Patent Examining Procedure, or pointing out typopaginal carries or or unreadable serging in Office actions or the like, are excluded from the interview recordation procedures

The interview Summary Form shall be given an appropriate paper number, placed in the right hand portion of the file, and files don the "Contents" list on the file and reapper. The docket and serial register cards need not be updated to reflect interviews. In personal interview, the duplicate copy of the Form is removed and given to the applicant (or attorney or agent) at the conclusion of the interview. In the case of a telephonic interview, the copy is mailed to the applicant some propriate the conclusion of the interview. In the case of a telephonic interview, the copy is mailed to the applicant's correspondence from the examiner is on likely-before an advance or I other circumstances dictate, the Form about but prefixed promotive after the state once (final conclusion).

The Form provides for recordation of the following information:

- -Serial Number of the application
- -Name of applicant
- -Neme of examiner
- Date of interview
- Type of interview (personal or telephonic)
 Name of participant(s)) (applicant, attorney or agent, etc.)
- Name of participant(s)) (applicant, attorney or agent, etc.)
 An indication whether or not an exhibit was shown or a demonstration conducted
- -An identification of the claims discussed
- -An identification of the specific prior art discussed
- An indication whether an egreement was reached and if so, a description of the general nature of the agreement (may be by attachment of a copy
- of emendments or cleims agreed as being elloweble). (Agreements as to allowability are tentative end do not restrict further action by the examiner to the contrary.)
- The signature of the examiner who conducted the interview
- Names of other Petent and Trademark Office personnel present.

The Form elso contains a statement reminding the epplicant of his responsibility to record the substance of the interview.

It is desirable that the examiner orally remind the applicant of his obligation to record the substance of the interview in each case unless both applicant and systemic agree that the ownliner will record same. Where the examiner agree is precord the substance of the interview, or when it is adequally precorded not Form or in an attachment to the Form, the examiner should check a box at the bottom of the Form informing the applicant that he need not supplement the Form by submitting a expansive record of the substance of the interview.

It should be noted, however, that the Interview Summary Form with not normally be considered a complete and proper recordation of the interview unless it includes, or is supplemented by the applicant or the examiner to include, all of the applicable items required below concerning the substance of the interview:

- A complete end proper recordation of the substance of any interview should include at least the following applicable items:
- 1) A brief description of the nature of any exhibit shown or eny demonstration conducted,
- 2) en identification of the claims discussed,
- 3) an identification of specific prior art discussed,
- an identification of the principal proposed amendments of a substantive nature discussed, unless these are already described on the Interview Summary
 Form completed by the examiner,
- 5) a brief identification of the general thrust of the principal arguments presented to the examiner. The identification of arguments need not be lengthy or eleborate. A verbatim or highly detailed description of the arguments is not required. The Identification of the arguments is sufficient if the general nature
- or thrust of the principal arguments made to the examiner can be understood in the context of the application file. Of course, the applicant may desire to emphasize and fully describe those erguments which he feels were or might be persuasive to the examiner, 6) e general indication of any other pertinent matters discussed. and
- 7) if appropriate, the general results or outcome of the interview unless already described in the Interview Stimmary Form completed by the examiner.

Examiners are expected to carefully review the applicant's record of the substance of an interview. If the record is not complete or accurate, the examiner will give the applicant one month from the date of the notifying letter or the remainder of eny period for response, whichever is longer, to complete the response and thereby avoid abandonment of the application (37 CFR 1.135(c)).

Examiner to Check for Accurecy

Applicant's summary of what took place of the interview should be carefully checked to determine the accuracy of any experient or statement attributed to the variance during the interview. If there is an insucracy and it bears discribed on the question of patentability, it should be pointed out in the next Office letter. If the claims ere ellowable for other reasons of record, the examiner should send a letter setting forth his or her version of the statement attributed to him. If the record is complete and excursion, the examiner should send a letter setting forth his or her version of the statement attributed to him. If the record is complete and excursion, the examiner should place the indication 'hinterview encord Off' on the paper recording the substance of the native wing with the data and